



~~Practitioner's Docket No. 13189.134~~

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Sperschneider, Ralph; Dietz, Martin; Ehret, Andreas; Brandenburg, Karlheinz; Gerhaeuser, Heinz; Nowbakht-Irani, Ali; Lauber, Pierre; and Bitto, Roland  
TRADEMARKS Application No.: 09/869,401 Group No.: Unknown  
Filed: June 27, 2001 Examiner: Unknown  
For: METHODS AND DEVICES FOR CODING OR DECODING AN AUDIO SIGNAL OR BIT STREAM

**Box Missing Parts**  
**Assistant Commissioner for Patents**  
**Washington, DC 20231**

**COMPLETION OF FILING REQUIREMENTS - NONPROVISIONAL APPLICATION**

I. This replies to the Notification Of Missing Requirements mailed July 30, 2001. A copy of the Notification Of Missing Requirements is enclosed.

## DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## **COMPLETION FEES**

### III. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e)) \$130.00

09/13/2001 SNAJARRO 00000071 501848 09869401 Total Completion Fees \$130.00  
01 FC:154 130.00 CH

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

## MAILING

## FACSIMILE

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20331.

transmitted by facsimile to the Patent and  
Trademark Office at (703) -

Elaine C. Von Sprockhövel  
**Signature**

Date: August 28, 2001

Elaine C. VonSpreckelsen  
(type or print name of person certifying)

Doc. 1866

## EXTENSION OF TIME

**IV.** The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## TOTAL FEE DUE

**V.** The total fee due is:

Completion fees	\$130.00
Extension fee (if any)	\$0.00
Total Fee Due	\$130.00

## PAYMENT OF FEES

**VI.** Charge Account No. 50-1848 in the amount of \$130.00. A duplicate of this request is attached.

Please charge Account No. 50-1848 for any fees that may be due by this paper.

## AUTHORIZATION TO CHARGE ADDITIONAL FEES

**VII.** The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 50-1848:

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)  
37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)  
37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)  
37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))  
37 C.F.R. Section 1.17 (application processing fees)

Date: Aug 28, 2001

  
\_\_\_\_\_  
Signature of Practitioner

Reg. No.: 28,494  
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Carl A. Forest  
Customer No.: 24283



## UNITED STATES PATENT AND TRADEMARK OFFICE

AUG - 3 2000

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/869401	SPERSCHNEIDER	R 13189.134
PATTON BOGGS PO BOX 270930 LOUISVILLE, CO 80027		INTERNATIONAL APPLICATION NO. PCT/EP98/08475
Due Date: <u>30 Aug 01</u> Statutory Deadline: <u>30 Jan 02</u> Date Docketed: <u>8/7/01</u> Docketed by: <u>PA</u> Sec: <u>ECV</u> <u>ser</u> Atty: <u>CAF</u>		I.A. FILING DATE 28 DEC 98 PRIORITY DATE 28 DEC 98

DATE MAILED:

30 JUL 2001

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as  a Designated Office (37 CFR 1.494)  an Elected Office (37 CFR 1.495):

U.S. Basic National Fee.  Indication of Small Entity Status.  
 Copy of the international application.  Translation of the international application into English.  
 Oath or Declaration of inventors(s).  Translation of Article 19 amendments into English.  
 Copy of Article 19 amendments.  Other:  
 Priority Document.  
 The International Preliminary Examination Report in English and its Annexes, if any.  
 Translation of Annexes to the International Preliminary Examination Report into English.

2.  Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

U.S. Basic National Fee.  Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

a.  Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.  
 The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.  
 b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).  
 c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.  
 The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.  
 d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ \_\_\_\_\_ as a  large entity  small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5.  Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7.  The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

***A copy of this notice MUST be returned with this response.***

Enclosed:  PCT/DO/EO/917  Notice of Defective Translation  
 PTO-875  PCT/DO/EO/920

Barbara A. Campbell